

H-914

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
In re Patent Application of

T. MIYAMOTO et al

Serial No.

Filed: April 28, 2000

For: SEMICONDUCTOR DEVICE AND PROCESS FOR  
MANUFACTURING THE SAME

INFORMATION DISCLOSURE STATEMENT (IDS)  
UNDER § 1.97 AND § 1.98  
AND CONDITIONAL PETITION REQUESTING  
CONSIDERATION OF THE IDS

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

1. This IDS should be considered:

(a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;

(b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$240.00 (a check in the amount of \$240.00 is enclosed, or if not see section 5 below);

(c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$130.00 (a check in the amount of \$130.00 to cover the petition fee, or if not see section 5 below).

2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.

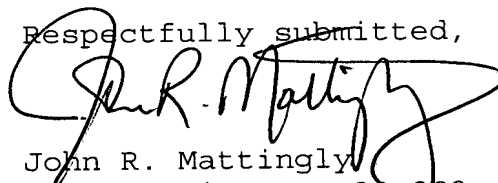
3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed when needed (needed for U.S. and foreign patents, publication or portion thereof listed; no copy of a U.S. patent application is needed; a copy of a document is not needed when previously submitted or previously cited by the PTO in a parent application of the present application as set forth under 35 U.S.C. § 120).

4. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 02-1540.

5. No explanation of relevancy is being provided for the documents listed in the attached PTO-1449 Form, because each is discussed in the present Specification, or its relevance is as stated in a communication from a foreign patent office in a counterpart foreign application, copy enclosed.

6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,



John R. Mattingly  
Registration No. 30,293  
Attorney for Applicant(s)

BEALL LAW OFFICES  
104 East Hume Avenue  
Alexandria, Virginia 22301  
(703) 684-1120  
Date: April 28, 2000

69 | 530,490

GROUP
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\* **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.

FORM PTO-1449  
(REV. 7-80)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.  
H-914

SERIAL NO.

LIST OF DOCUMENTS CITED BY APPLICANT  
(Use several sheets if necessary)

APPLICANT

T. MIYAMOTO et al

FILING DATE  
04/28/00

GROUP

U. S. PATENT DOCUMENTS

* EXAMINER INITIAL		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
AL	8-330355	12/13/96	Japanese					
AM	8-78574	03/22/96	Japanese					
AN	9-139401	05/27/97	Japanese					
AO	8-102466	04/16/96	Japanese					
AP	8-250498	09/27/96	Japanese					

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AR	
AS	
AT	

EXAMINER

*[Signature]*

DATE CONSIDERED

8-21-01

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
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09/530490

422 Rec'd PCT/PTO 28 APR 2000

Sheet 3 of 3

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
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	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	2-77138	03/16/90	Japanese				
	AM		//					
	AN		//					
	AO		//					
	AP		//					

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER

DATE CONSIDERED

6-21-01

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